

Docket No.: 62738-011

#3  
Sector  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Toru OWADA, et al.

Serial No.: 09/943,754

Group Art Unit: 2131

Filed: September 04, 2001

Examiner:

For: INFORMATION STORAGE SYSTEM, INFORMATION TRANSFER SYSTEM AND  
STORAGE MEDIUM THEREOF

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated November 11, 2001,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$710.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional Claims fee of \$80.00
5. Assignment
6. Certified Priority Document
7. Information Disclosure Statement and PTO-1449 Form

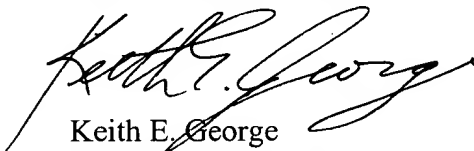
Please charge Deposit Account No. 500417 in the amount of \$920.00. To the extent  
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

09/943,754

shortage in fees due in connection with the filing of this paper, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

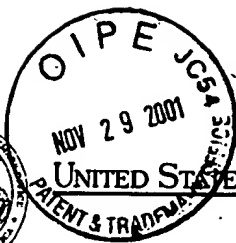
MCDERMOTT, WILL & EMERY

A handwritten signature in black ink, appearing to read "Keith E. George". The signature is fluid and cursive, with the first name "Keith" and last name "George" being the most prominent parts.

Keith E. George  
Registration No. 34,111

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 KEG:prp  
**Date: November 29, 2001**  
Facsimile: (202) 756-8087

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,754	09/04/2001	Toru Owada	62758-011

20277  
MCDERMOTT WILL & EMERY  
600 13TH STREET, N.W.  
WASHINGTON, DC 20005-3096

CONFIRMATION NO. 8446

## FORMALITIES LETTER



\*OC000000006884737\*

Date Mailed: 10/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/30/2001 GTEFFERA 00000092 500417 09943754

01 FC:101 740.00 CH  
02 FC:102 84.00 CH  
03 FC:105 130.00 CH